

LAHIVE  
&  
COCKFIELD  
L L P

COUNSELLORS AT LAW  
28 STATE STREET  
BOSTON, MASSACHUSETTS 02109-1784  
TELEPHONE (617) 227-7400  
FAX (617) 742-4214  
lc@lahive.com



JOHN A. LAHIVE, JR. (1928-1997)  
THOMAS V. SMURZYNSKI  
RALPH A. LOREN  
GIULIO A. DeCONTI, JR.  
ANN LAMPORT HAMMITTE  
ELIZABETH A. HANLEY  
AMY BAKER MANDRAGOURAS  
ANTHONY A. LAURENTANO  
KEVIN J. CANNING  
JANE E. REMILLARD  
DeANN FORAN SMITH  
PETER C. LAURO  
JEANNE M. DiGIORGIO  
DEBRA J. MILASINCIC, Ph.D.  
DAVID J. RIKKERS  
DAVID R. BURNS  
JOHN S. CURRAN  
SEAN D. DETWEILER

CYNTHIA L. KANIK, Ph.D.  
MEGAN E. WILLIAMS, Ph.D.  
RICHAN NAND  
MICHAEL PHILLIPPS \*  
LISA M. DIROCCO  
HATHAWAY P. RUSSELL \*\*\*

SENIOR COUNSEL  
JAMES E. COCKFIELD

OF COUNSEL  
JEREMIAH LYNCH  
WILLIAM A. SCOFIELD, JR.

PATENT AGENTS  
THEODORE R. WEST  
SHAYNE Y. HUFF, Ph.D.  
DANIEL B. KO

TECHNICAL SPECIALISTS  
MARIA LACCOTRIPE ZACHARAKIS, Ph.D.\*\*  
CYNTHIA M. SOROOS  
PETER W. DINI, Ph.D.  
EUIHOON LEE  
JENNIFER K. ROSENFELD  
ALLAN TAMESHTIT, Ph.D.  
CATHERINE E. McPHERSON  
ERIC F. WAGNER, Ph.D.  
SHAHID HASAN, Ph.D.  
JACOB G. WEINTRAUB  
PETER A. DiMATTIA  
JONATHAN M. SPARKS, Ph.D.  
CRISTIN E. HOWLEY, Ph.D.  
VINCENT P. LOCCISANO  
MERIDETH C. ARNOLD

\* Admitted in NY only  
\*\* Passed the Patent Bar Examination  
\*\*\* Admitted in TX only

Receipt #3

December 7, 2001

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

RECEIVED

JAN 23 2002

Technology Center 2100

Re: U.S. Patent Application Serial No. 09/972,226  
Title: Authentication and Authorization Mapping  
For A Computer Network  
Applicant: Vadim Lander  
Attorney Docket No. NES-004

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

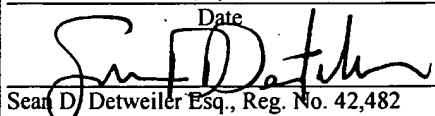
1. Request for Correction of Filing Receipt (1 pg.);
2. A copy of Filing Receipt with changes indicated (1 pg.);
3. Copy of Non-Publication Request;
4. Copy of Acknowledgment Postcard; and
5. A prepaid return postcard

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, DC 20231 on:


December 7, 2001

Date

  
Sean D. Detweiler Esq., Reg. No. 42,482

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

  
Sean D. Detweiler, Esq.  
Reg. No. 42,482



#3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Vadim Lander

Serial No.: 09/972,226

Filed: October 4, 2001

For: Authentication And Authorization Mapping  
For A Computer Network

Attorney Docket No.: NES-004

Group Art Unit: 2131

Examiner:

RECEIVED  
JAN 23 2002  
Technology Center 2100Commissioner for Patents  
Washington, D.C. 20231REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

Please correct the Filing Receipt for the application identified above. The projected publication date should be removed, and should read **Non-Publication**  
**Request: Yes.** Enclosed is a copy of the Non-Publication Request filed with the patent application on October 4, 2001. Also attached is a copy of the acknowledgment postcard mailed from the U.S. Patent and Trademark Office indicating that the Non-Publication Request was received.

Applicants request that the Filing Receipt be corrected. *A Copy of the Filing Receipt with the changes noted thereon is submitted herewith.*

Respectfully submitted,

LAHIVE &amp; COCKFIELD, LLP

Sean D. Detweiler  
Registration No. 42,482  
Attorney for Applicant28 State Street  
Boston, MA 02109  
(617) 227-7400  
Date: December 7, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/972,226	10/04/2001	2131	1088	NES-004	6	30	5

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109



CONFIRMATION NO. 4394

## FILING RECEIPT



\*OC000000007013879\*

Date Mailed: 11/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Vadim Lander, Newton, MA;

RECEIVED

JAN 23 2002

Technology Center 2100

## Assignment For Published Patent Application

Netegrity, Inc., Waltham, MA;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/273,914 03/07/2001  
AND CLAIMS BENEFIT OF 60/280,368 03/29/2001

## Foreign Applications

If Required, Foreign Filing License Granted 11/02/2001

~~Projected Publication Date: 09/12/2002~~Non-Publication Request: ~~No~~ YES

Early Publication Request: No

## Title

Authentication and authorization mapping for a computer network

## Preliminary Class

ENTERED

LAHIVE & COCKFIELD  
DOCKET DEPT.

NOV 14 7 2001

RETRIEVED: 11/8

FORWARDED: 11/7 25B



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Vadim Lander

Serial No.: To Be Assigned

Filed: Herewith

For: Authentication And Authorization Mapping  
For A Computer Network

Attorney Docket No.: NES-004

Group Art Unit:

Examiner:

**RECEIVED**

**JAN 23 2002**

**Technology Center 2100**

Commissioner for Patents  
Washington, D.C. 20231

**NONPUBLICATION REQUEST**

Dear Sir:

Applicant respectfully requests that this application NOT BE PUBLISHED under 35 U.S.C. § 122(b). The undersigned certifies on behalf of Applicant that the invention disclosed in this application has not and, as the undersigned presently understands, will not be the subject of an application filed in another country or under a multilateral international agreement that requires publication at 18 months after filing.

No costs are believed due in connection with the filing of this Nonpublication Request. However, if there are any associated costs, please charge them to our Deposit Order Account No. 12-0080.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Sean D. Detweiler

Registration No. 42,482

Attorney for Applicant

28 State Street  
Boston, MA 02109  
(617) 227-7400  
Date: October 4, 2001

Office No. NES-004

THE "RECEIVED" STAMP OF THE PATENT AND TRADEMARK OFFICE  
IMPRINTED HEREON ACKNOWLEDGES THE FILING OF:

Description of Paper\* and No.: new utility patent application entitled "Authentication And Authorization Mapping For A Computer Network" including 23 pp. specification, 6 pp. claims, 1 pp. abstract, 6 sheets of drawings, Declaration, Petition and Power of Attorney for Patent Application (5 pp.), transmittal cover sheet 2 pp. (in duplicate), **NONPUBLICATION REQUEST**, Information Disclosure Statement 2 pp. (in duplicate), Form PTO 1449 1 pp., 2 references; Application Data Sheet (2 pp.), check in the amount of \$1088.00 for filing fee,

Name of Applicant(s): Vadim Lander

Intf. or Serial No.: (filed herewith)

Atty: Sean D. Derweiler, Esq.

Date: October 4, 2001

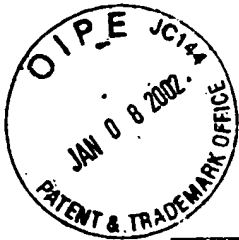
\*with Certificate of First Class Mailing EL 916824870 US

**COPY**



**RECEIVED**  
**JAN 23 2002**  
**Technology Center 2100**

Jc872 U.S. PTO  
09/972226  
10/04/01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Vadim Lander

Serial No.: 09/972,226

Filed: October 4, 2001

For: Authentication And Authorization Mapping  
For A Computer Network

Attorney Docket No.: NES-004

Group Art Unit: 2131

Examiner:

RECEIVED

JAN 23 2002

Technology Center 2100

Commissioner for Patents  
Washington, D.C. 20231

**NONPUBLICATION REQUEST**  
**SECOND TRANSMITTAL**

Dear Sir:

Applicants received a Filing Receipt that incorrectly stated there had been no Non-Publication Request filed with this Application. Applicants have filed a "Request for Correction of Filing Receipt" (copy attached) to correct the filing receipt. Applicants were additionally instructed by the Office of Publications to re-submit the Request for Non-Publication to Box Patent Application. Therefore, attached hereto, please find a duplicate copy of the **REQUEST FOR NON-PUBLICATION** for this Application, previously filed October 4, 2001, to *ensure that this Application is not published* at eighteen months.

Applicants therefore enclose herewith for filing in the above-identified application:

1. Copy of Non-Publication Request Previously Filed;
2. Copy of Acknowledgment Postcard; and
3. A prepaid return postcard

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to complete this filing. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Patent Application, Washington, DC 20231 on:

December 7, 2001

Date

  
Sean D. Detweiler Esq., Reg. No. 42,482

Respectfully submitted,

LAHIVE & COCKFIELD, LLP



Sean D. Detweiler, Esq.  
Reg. No. 42,482



## UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

#3



Bib Data Sheet

CONFIRMATION NO. 4394

<b>SERIAL NUMBER</b> 09/972,226	<b>FILING DATE</b> 10/04/2001 <b>RULE</b>	<b>CLASS</b> 713	<b>GROUP ART UNIT</b> 2131	<b>ATTORNEY DOCKET NO.</b> NES-004	
<b>APPLICANTS</b> Vadim Lander, Newton, MA;					
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/273,914 03/07/2001 AND CLAIMS BENEFIT OF 60/280,368 03/29/2001					
<b>** FOREIGN APPLICATIONS *****</b>					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 11/02/2001</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> MA	<b>SHEETS DRAWING</b> 6	<b>TOTAL CLAIMS</b> 30	<b>INDEPENDENT CLAIMS</b> 5
<b>ADDRESS</b> 000959					
<b>TITLE</b> Authentication and authorization mapping for a computer network					
<b>FILING FEE RECEIVED</b> 1088	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		